

National Stock Exchange of India

Circular

Department: SURVEILLANCE	
Download Ref No: NSE/SURV/57527	Date: July 12, 2023
Circular Ref. No: 474/2023	

To All NSE Members

Sub: Applicability of Short-Term Additional Surveillance Measure (ST-ASM)

This is further to Exchange Circular nos. NSE/SURV/39265, NSE/SURV/46557, NSE/SURV/52144 and dated October 27, 2018 and December 04, 2020 and April 28, 2022, in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I** have satisfied the criteria for inclusion under **ST-ASM Stage I**. The applicable surveillance actions shall be as per provisions of the Short-Term Additional Surveillance Measure (ST-ASM) which are as under:

- Applicable rate of margin shall be 50% or existing margin, whichever is higher, subject to maximum rate of margin capped at 100% w.e.f. July 14, 2023 on all open positions as on July 13, 2023 and new positions created from July 14, 2023.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance, and it should not be construed as an adverse action against the concerned company / entity.

For more information on Additional Surveillance Measure please refer to our Frequently Asked Questions (FAQs) - <https://www.nseindia.com/regulations/additional-surveillance-measure>

In case of any further queries, you may write to us at surveillance@nse.co.in.



National Stock Exchange of India

For National Stock Exchange of India Limited

Binoy Yohannan
Associate Vice President
Surveillance

Consolidated list of securities shortlisted in Short Term ASM Framework

Sr. No.	Symbol	Security Name	ISIN	Stage
1	HEG	HEG Limited	INE545A01016	I
2	CSLFINANCE	CSL Finance Limited	INE718F01018	I
3	DCXINDIA	DCX Systems Limited	INE0KL801015	I
4	RTNPOWER	RattanIndia Power Limited	INE399K01017	I
5	LGBBROSLTD	LG Balakrishnan & Bros Limited	INE337A01034	I
6	TRU	TruCap Finance Limited	INE615R01029	I
7	PTCIL	PTC Industries Limited	INE596F01018	I
8	SWELECTES	Swelect Energy Systems Limited	INE409B01013	I
9	GENUSPOWER	Genus Power Infrastructures Limited	INE955D01029	I
10	BBOX	Black Box Limited	INE676A01027	I
11	OLECTRA	Olectra Greentech Limited	INE260D01016	I
12	ZEEMEDIA	Zee Media Corporation Limited	INE966H01019	I
13	RHFL	Reliance Home Finance Limited	INE217K01011	I
14	XCHANGING	Xchanging Solutions Limited	INE692G01013	I
15	PREMEXPLN	Premier Explosives Limited	INE863B01011	I
16	ACE	Action Construction Equipment Limited	INE731H01025	I